

## **Abstract**

This report contains the Proceedings of the Joint INFN-Frascati, INFN-Pisa, CERN-LER and EuCARD-AccNet Mini-Workshop on Electron-Cloud Effects, "E-CLOUD12", held at La Biodola, Isola d'Elba, from 5 to 9 June 2012. The E-CLOUD12 workshop reviewed many recent electron-cloud (EC) observations at existing storage rings, EC predictions for future accelerators, electron-cloud studies at DAFNE, EC mitigation by clearing electrodes and graphite/carbon coatings, modeling of incoherent EC effects, self-consistent simulations, synergies with other communities like the Valencia Space Consortium and the European Space Agency. E-CLOUD12 discussed new EC observations at existing machines including LHC, CesrTA, PETRA-3, J-PARC, and FNAL MI; latest experimental efforts to characterize the EC – including EC diagnostics, experimental techniques, mitigation techniques such as coating and conditioning, advanced chemical and physical analyses of various vacuum-chamber surfaces, beam instabilities and emittance growth – ; the status of EC physics models and (new, more versatile and additional) simulation codes and their comparison with recently acquired experimental data; and the mitigation requirements and potential performance limitations imposed by the EC on upgraded and future machines, including HL-LHC, FAIR, ILC, Project-X, SuperB and SuperKEKB. A dedicated session addressed problems related to RF breakdown and multipacting for space applications. A number of open questions and future R&D needs were identified.

## Preface

Electron clouds – abundantly generated in accelerator vacuum chambers by residual-gas ionization, photoemission and secondary emission – can affect the operation and performance of hadron and lepton accelerators in a variety of ways. They can induce increases in vacuum pressure, beam instabilities, beam losses, emittance growth, reductions in the beam lifetime, or additional heat loads on a (cold) chamber wall. Recently electron clouds have regained some prominence; since autumn 2010, all of these effects have been observed during beam commissioning of the Large Hadron Collider (LHC) at CERN.

Electron clouds were recognized as a potential problem for LHC in the mid 1990s (*CERN Courier* July/August 1999, p. 29) and the first workshop to focus on the phenomenon, “E-CLOUD02,” was held at CERN in 2002 (*CERN Courier* July/August 2002, p. 15). Ten years later, the fifth electron-cloud workshop, “E-CLOUD12” has taken place, again in Europe. More than 60 physicists and engineers from around the world gathered at La Biodola, Elba, on 5-8 June, to discuss the state of the art and review recent electron-cloud experience.

Many electron-cloud signatures have been recorded and a great deal of data accumulated, not only at the LHC, but also at the CESR Damping Ring Test Accelerator (CesrTA) at Cornell, DAΦNE at Frascati, the Japan Proton Research Complex (J-PARC) and PETRA III at DESY. These machines all serve as valuable test beds for simulations of electron-cloud build up, instabilities and heat load, as well as for new diagnostics methods. These latter include measurements of synchronous phase-shift and cryo effects at the LHC, as well as microwave transmission, coded-aperture images and time-resolved shielded pick-ups at CesrTA. The impressive resemblance between simulation and measurement suggests that the existing electron-cloud models correctly describe the phenomenon. The workshop also analysed the means of mitigating electron-cloud effects that are proposed for future projects, such as the High-Luminosity LHC, SuperKEKB in Japan, SuperB in Italy, Project-X in the US, the upgrade of the ISIS machine in the US, and the International Linear Collider (ILC).

An International Advisory Committee (IAC) had assembled an exceptional programme for E-CLOUD12. As a novel feature for the E-CLOUD series, members of the space-craft community participated, from the Val Space consortium based in Valencia, the French aerospace laboratory Onera, Massachusetts Institute of Technology, the Instituto de Ciencia de Materiales de Madrid, and the École Polytechnique Fédérale de Lausanne (EPFL). Indeed, satellites in space suffer from problems that greatly resemble the electron cloud in accelerators and that can be modelled and cured by similar countermeasures. These problems include the motion of the satellites through electron clouds in outer space, the relative charging of satellite components under the influence of sunlight, and the loss of performance of high-power microwave devices on space satellites. Intriguingly, the “Furman formula” parameterizing the secondary emission yield, which was first introduced around 1996 to analyse electron-cloud build-up for the PEP-II B factory, then under construction at SLAC, is now widely used to describe secondary emission on the surface of space satellites. Common mitigation measures for both accelerators and satellites include advanced coatings, and both communities use simulation codes such as BI-RME/E-CLOUD and FEST3D. A second community to be newly involved in the

workshop series included surface scientists, who at this meeting elucidated the chemistry and secrets of secondary emission, conditioning, and photon reflections. Another important first appearance at ELOUD12 was the use of Gabor lenses, e.g. at the University of Frankfurt, to study incoherent electron-cloud effects in a laboratory set-up.

Several powerful new simulation codes were presented for the first time at ELOUD12. These novel codes include: SYNRAD3D from Cornell for photon tracking, modeling surface properties and 3D geometries; OSMOSEE from ONERA to compute the secondary emission yield, including at low primary energies; PyELOUD from CERN to perform improved and faster build-up simulations; the latest version of WARP-POSINST from Lawrence Berkeley National Laboratory, which allows for self-consistent simulations that combine build up, instability and emittance growth, and which is deployed to study beam-cloud behaviour over hundreds of turns through the Super Proton Synchrotron (SPS); and BI-RME/ELOUD from a collaborative effort of EPFL and CERN to study various aspects of the interaction of microwaves with an electron cloud. New codes also mean more work. For example, the advocated transition from ELOUD to PyELOUD implies that substantial past ELOUD code development at Cornell and EPFL may need to be redone.

ELOUD12 could not solve all of the puzzles and open questions remain. Why, for example, does the betatron sideband signal – characterizing the electron-cloud related instability – at CsrTA differ from similar signals at KEKB and PETRA III? Why was the beam-size growth at PEP-II observed in the horizontal plane, while simulations had predicted it to be vertical? How can the complex nature of intricate incoherent effects be fully described? Which ingredients are missing for correctly modelling the electron-cloud behaviour for electron beams (e.g. the existence of a certain fraction of high-energy photoelectrons)? How does the secondary-emission yield of the copper coating on the LHC beam screen decrease as a function of incident electron dose and incident electron energies (looking for the “correct” equation to describe the variation of the primary energy at which the maximum yield is attained as a function of this maximum yield, called  $\epsilon_{\max}$  ( $\delta_{\max}$ ), and the concurrent evolution in the reflectivity of low-energy electrons, called  $R$ )? Does the conditioning of stainless steel differ from that of copper? If it is the same, why should the Super Proton Synchrotron’s beam pipe be coated, but not the LHC’s? Can the secondary-emission yield change over a time scale of seconds during the accelerator cycle (a suspicion based on evidence from the Main Injector at Fermilab)? Can the surface conditioning be speeded up by the controlled injection of carbon monoxide gas?

As for the “electron-cloud safety” of future machines, ELOUD12 concluded that the design mitigations for the ILC and for SuperKEKB appear adequate. The LHC and its upgrades (HL-LHC, HE-LHC) should also be safe with regard to electron cloud if the surface conditioning (“scrubbing”) of the chamber wall progresses as expected. The situations for Project-X, the upgrade for the Relativistic Heavy-Ion Collider, J-PARC, and SuperB are less finalized and perhaps more challenging.

ELOUD12 was jointly organized and co-sponsored by INFN-Frascati, INFN-Pisa, CERN, EuCARD-AccNet (*CERN Courier* November 2009 p16), and the Low Emittance Ring (LER) study at CERN. In addition, the SuperB project provided a workshop pen “made in Italy”. The participants also enjoyed a 1-hour soccer match (another novel feature) between experimental and theoretical electron-cloud experts, the latter clearly outnumbered, as well as post-dinner discussions until well after midnight. The next workshop of the series

could be ECLLOUD15, which would coincide with the 50th anniversary of the first observation of the electron-cloud phenomenon at a small proton storage-ring in Novosibirsk, and its explanation by Gersh Budker.

The ECLLOUD12 workshop was dedicated to the memory of the late Francesco Ruggiero, former leader of the accelerator physics group at CERN, who launched an important remedial electron-cloud crash programme for the LHC in 1997.

The web site <http://agenda.infn.it/conferenceOtherViews.py?view=standard&confId=4303> features the ECLLOUD12 presentations, while the ECLLOUD12 papers, compiled by Giovanni Rumolo, can be found at <http://cern.ch/ecloud12>.

The proceedings are structured according to the six workshop sessions and one opening seminar:

- Special opening seminar on “Electron Cloud Effects in Accelerators” by M.A. Furman
- Session I: Electron-cloud effects on beam dynamics: observations and prediction (chair M.A. Furman)
- Session II: Electron-cloud effects on vacuum and heat load (chair E. Shaposhnikova)
- Session III: Surface Properties, Coating and Experimental Studies (chair R. Cimino)
- Session IV: Multipactoring and Related Effects (chair M. Pivi)
- Session V: Simulations and Diagnostics (chair G. Rumolo)
- Session VI: Mitigation (chair G. Dugan)

These proceedings have been published in paper and electronic form. The paper copy is in black and white; the electronic version contains colour pictures. In addition, workshop participants were encouraged to submit their contributions to a special ECLLOUD12 conference edition of Physical Review Special Topics - Accelerators and Beams (<http://prst-ab.aps.org/speced/ECLLOUD12>).

The compilation of these proceedings would not have been possible without the help of the chairmen and speakers of all the sessions. In particular, we would like to warmly thank all the participants for their stimulating contributions. The exceptional workshop programme has been made possible by the other members of the IAC (consisting of Franco Cervelli, INFN-Pisa; Roberto Cimino, INFN – LNF; Stefano De Santis, LBNL; Gerry Dugan, Cornell; Wolfram Fischer, BNL; Miguel Furman, LBNL (Honorary Chair); Giuliano Franchetti, GSI; John Fox, SLAC & Stanford; Benito Gimeno, VSC & U Valencia; Susanna Guiducci, INFN-LNF; Miguel Jimenez, CERN; Mark Palmer, Cornell (co-Chair); Ioannis Papaphilippou, CERN; Mauro Pivi, SLAC; Kazuhito Ohmi, KEK; Katsunobu Oide, KEK; Eugene Perevedentsev, BINP; Tor Raubenheimer, SLAC; Elena Shaposhnikova, CERN; Vladimir Shiltsev, FNAL; Yusuke Suetsugu, KEK; Mauro Taborelli, CERN; Jiuqing Wang, IHEP; Andy Wolski, U. Liverpool; and Frank Zimmermann, CERN (Chair)) for the exceptional programme. Finally, we would like to extend our thanks to Maria Rita Ferrazza, Lucia Lilli, and Delphine Rivoiron for the wonderful preparation and excellent support.

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